

**U.S. District Court  
Southern District of New York (Foley Square)  
CIVIL DOCKET FOR CASE #: 1:22-cv-06277-ALC**

Securities and Exchange Commission v. Bhardwaj et al  
Assigned to: Judge Andrew L. Carter, Jr  
Cause: 15:78m(a) Securities Exchange Act

Date Filed: 07/25/2022  
Date Terminated: 09/24/2024  
Jury Demand: Plaintiff  
Nature of Suit: 850  
Securities/Commodities  
Jurisdiction: U.S. Government  
Plaintiff

06/13/2024

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FINAL JUDGMENT AS TO DEFENDANT ABBAS SAEEDI: IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security: and further set forth in this Order. IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$691,104.73, representing his ill-gotten gains as a result of the conduct alleged in the Complaint, together with prejudgment interest in the amount of \$28,133.56. However, Defendant's obligation to pay disgorgement and prejudgment interest shall be deemed satisfied upon entry of this Final Judgment by the order of forfeiture entered against him in United States v. Abbas Saeedi, Crim. No. 22 CR 398 (GHW) (S.D.N.Y.) (Dkt. Nos. 127/130). There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice and further set forth in this Order. (Signed by Judge Andrew L. Carter, Jr on 6/13/2024) (rro) (Entered: 06/13/2024)

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FINAL JUDGMENT AS TO DEFENDANT AMIT BHARDWAJ: IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security: IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also binds the following whoreceive actual notice of this Final

		<p>Judgment by personal service or otherwise: (a) Defendant's officers, agents, servants, employees, and attorneys; and (b) other persons in active concert or participation with Defendant or with anyone described in (a). IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$500,598.91, representing his ill-gotten gains as a result of the conduct alleged in the Complaint, together with prejudgment interest in the amount of \$44,885.11. However, Defendant's obligation to pay disgorgement and prejudgment interest shall be deemed satisfied upon entry of this Final Judgment by the order of forfeiture entered against him in United States v. Amit Bhardwaj, Crim. No. 22 CR 398 (GHW) (S.D.N.Y.) (Dkt. Nos. 58/116). There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice. (Signed by Judge Andrew L. Carter, Jr on 6/13/2024) (rro) (Entered: 06/13/2024)</p>
06/13/2024	<a href="#">95</a>	<p>FINAL JUDGMENT AS TO DEFENDANT SRINIVASA KAKKERA: IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant is permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the Exchange Act) [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security: and further set forth in this Order. IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$2,453,687.99, representing ill-gotten gains as a result of the conduct alleged in the Complaint, together with prejudgment interest in the amount of \$247,402.19, but that disgorgement and prejudgment interest are deemed satisfied by the order of forfeiture entered against the Defendant in the criminal case captioned United States v. Srinivasa Kakker, Crim. No. 22 CR 398 (GHW) (S.D.N.Y.) (Dkt. Nos. 126/129). There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice. (Signed by Judge Andrew L. Carter, Jr on 6/13/2024) (rro) (Entered: 06/13/2024)</p>