

**U.S. District Court  
Southern District of New York (Foley Square)  
CRIMINAL DOCKET FOR CASE #: 1:23-cr-00370-JGLC All Defendants**

Case title: USA v. LEWIS		Date Filed: 07/24/2023
		Date Terminated: 12/13/2024
04/09/2024	<a href="#">69</a>	JUDGMENT In A Criminal Case. Date of Imposition of Judgment: 4/4/2024. Defendant Joseph Lewis (1) pleaded guilty to Count(s) 1s, 7s, and 10s, of the Superseding Indictment. Count(s) 2s, 3s-6s, 8s-9s, 11s-15s, 16s-18s, 19s are dismissed on the motion of the United States. PROBATION: 3 years. Standard Conditions of Supervision (See page 3 of Judgment). Special Conditions of Supervision: 1. You must obey the immigration laws and comply with the directives of immigration authorities. 2. You are prohibited from incurring new credit card charges or opening additional lines of credit without approval of the probation officer unless you are in compliance with the restitution payment schedule. 3. You are required to provide the probation officer access to any requested financial information. 4. Upon satisfaction of the restitution order, or should the Government fail to meet its obligation to provide the full information concerning the victims and amount of restitution owed to each victim by the defendant pursuant to 18 USC 3664, 90 days following the date of sentence, you shall be allowed to self-deport in coordination and communication with the United States Probation Office. Probation may be executed via an administrative caseload while abroad. 5. You are required to abide by all the conditions of Broad Bay's probation, including the "Board Seat Obligation", "Boxer Ownership Obligation", "Cooperation Obligation", and the other "Obligations of Cooperate Owner". ASSESSMENT: \$300.00, due immediately.FINE: \$5,000,000.00. The determination of restitution is deferred until 7/3/2024. An Amended Judgment in a Criminal Case (AO 245C) will be entered after such determination. (Signed by Judge Jessica G. L. Clarke on 4/9/2024) (bw) (Entered: 04/10/2024)
06/25/2024	<a href="#">100</a>	JUDGMENT IN A CRIMINAL CASE as to Patrick O'Connor (2). THE DEFENDANT: pleaded guilty to Count One and Eight of the S(1) Indictment. Counts 3,4,5,12,16,17, and 18 are dismissed on the motion of the United States. PROBATION: 3 Years of Probation, with the first four months on home incarceration (see page 4). See SPECIAL CONDITIONS OF SUPERVISION. ASSESSMENT: \$200.00 due immediately. FINE: \$75,000.00. The determination of restitution is deferred until 9/23/2024. An Amended Judgment in a Criminal Case (AO 245C) will be entered after such determination. The defendant must pay interest on restitution and a fine of more than \$2,500, unless the

		<p>restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 6 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g). (Signed by Judge Jessica G. L. Clarke on 6/25/2024) (ap) (Entered: 06/26/2024)</p>
12/13/2024	<a href="#">130</a>	<p>JUDGMENT In A Criminal Case. Date of Imposition of Judgment: 12/10/2024. Defendant Bryan Marty Waugh (3) pleaded guilty to Count(s) 1s, Count One of the S(3) Superseding Information. Count(s) 1,4,6,9,13,16-18 of the S(1) Indictment are dismissed on the motion of the United States. IMPRISONMENT: Time Served. PROBATION: 3 Years of Probation. Standard Conditions of Supervision (See page 4 of Judgment). Additional Standard Conditions of Supervision: 1. You are authorized to travel outside of the district for work. 2. You are permitted to communicate with Joseph Lewis (1) for work purposes. Special Conditions of Supervision: 1. You are prohibited from incurring new credit card charges or opening additional lines of credit without approval of the probation officer unless you are in compliance with your financial obligations ordered as part of your sentence. 2. You are required to provide the probation officer access to any requested financial information. 3. You shall be supervised by the district of your residence. ASSESSMENT: \$100.00, due immediately. FINE: \$25,000.00. (Signed by Judge Jessica G. L. Clarke on 12/13/2024) (bw) (Entered: 12/13/2024)</p>
01/14/2025	<a href="#">138</a>	<p>RESTITUTION ORDER as to (S1 23-Cr-370-1) Joseph Lewis. WHEREAS, on or about April 9, 2024, JOSEPH LEWIS, the defendant, was sentenced to three years' probation, a one year term of supervised release, a \$5,000,000 fine, a \$300 special assessment, and an amount of restitution to be determined at a future date (see Dkt. 69); WHEREAS, in advance of that sentencing Solid Biosciences Inc. ("Solid Biosciences"), a victim of the offenses of conviction, submitted a victim impact statement seeking restitution to recover costs and attorney's fees (see Dkt. 66-1); WHEREAS, on or about November 12, 2024, counsel for Solid Biosciences notified the Government that JOSEPH LEWIS, the defendant, agreed to pay Solid Biosciences \$400,000 as restitution for its attorneys' fees; IT IS HEREBY ORDERED that JOSEPH LEWIS, the defendant, shall pay restitution in the amount of \$400,000 to Solid Biosciences. Restitution is not joint and several with other defendants or with others not named herein. In the event of the death of the defendant, the defendant's estate will be held responsible for any unpaid balance of the restitution amount, and any lien filed pursuant to 18 U.S.C. § 3613(c) shall continue until the estate receives a written release of that liability. The defendant shall make restitution payments by certified check, bank check, money order, wire transfer, credit card or cash. Checks and money orders shall be made payable to the "SDNY Clerk of the Court" and mailed or hand-delivered to: United States Courthouse, 500 Pearl Street,</p>

New York, New York 10007 - Attention: Cashier, as required by 18 U.S.C. § 3611. The Defendant shall write his/her name and the docket number of this case on each check or money order. Credit card payments must be made in person at the Clerk's Office. Any cash payments shall be hand delivered to the Clerk's Office using exact change, and shall not be mailed. For payments by wire, the Defendant shall contact the Clerk's Office for wiring instructions. SO ORDERED: (Signed by Judge Jessica G. L. Clarke on 1/14/2025) (bw) (Entered: 01/14/2025)